# A Characterization of Concept Lattices. Dual Concept Lattices

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**Summary.** In this article we continue the formalization of concept lattices following [4]. We give necessary and sufficient conditions for a complete lattice to be isomorphic to a given formal context. As a by-product we get that a lattice is complete if and only if it is isomorphic to a concept lattice. In addition we introduce dual formal concepts and dual concept lattices and prove that the dual of a concept lattice over a formal context is isomorphic to the concept lattice over the dual formal context.

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The notation and terminology used in this paper have been introduced in the following articles: [8], [10], [2], [3], [11], [1], [5], [9], [15], [7], [14], [6], [13], [12], and [16].

#### 1. Preliminaries

Let C be a FormalContext and let  $C_1$  be a strict FormalConcept of C. The functor  ${}^{@}C_1$  yielding an element of ConceptLattice C is defined as follows: (Def. 1)  ${}^{@}C_1 = C_1$ .

Next we state four propositions:

- (1) For every FormalContext C holds  $\perp_{\text{ConceptLattice }C} = \text{Concept} \text{with } \text{all } -\text{Attributes }C$  and  $\top_{\text{ConceptLattice }C} = \text{Concept} \text{with } \text{all } \text{Objects }C$ .
- (2) Let C be a FormalContext and D be a non empty subset of  $2^{\text{the objects of }C}$ . Then (ObjectDerivation C)( $\bigcup D$ ) =  $\bigcap \{(\text{ObjectDerivation }C)(\bigcup D) \in D\}$ .

- (3) Let C be a FormalContext and D be a non empty subset of  $2^{\text{the Attributes of }C}$ . Then  $(\text{AttributeDerivation }C)(\bigcup D) = \bigcap \{(\text{AttributeDerivation }C)(A); A \text{ ranges over subsets of the Attributes of }C: A \in D\}.$
- (4) Let C be a FormalContext and D be a subset of the carrier of ConceptLattice C. Then  $\bigcap_{\text{ConceptLattice }C}D$  is a FormalConcept of C and  $\bigcup_{\text{ConceptLattice }C}D$  is a FormalConcept of C.

Let C be a FormalContext and let D be a subset of the carrier of ConceptLattice C. The functor  $\bigcap_C D$  yields a FormalConcept of C and is defined as follows:

(Def. 2)  $\bigcap_C D = \bigcap_{\text{ConceptLattice } C} D$ .

The functor  $\bigsqcup_{C} D$  yields a FormalConcept of C and is defined by:

(Def. 3)  $\bigsqcup_C D = \bigsqcup_{\text{ConceptLattice } C} D$ .

Next we state several propositions:

- (5) For every FormalContext C holds  $\bigsqcup_{C}(\emptyset_{\text{ConceptLattice }C}) = \text{Concept} \text{with } -\text{all} \text{Attributes }C$  and  $\bigcap_{C}(\emptyset_{\text{ConceptLattice }C}) = \text{Concept} \text{with } -\text{all} \text{Objects }C.$
- (6) For every FormalContext C holds  $\bigsqcup_{C}(\Omega_{\text{the carrier of ConceptLattice }C) = \text{Concept} \text{with } \text{all } \text{Objects }C \text{ and } \bigcap_{C}(\Omega_{\text{the carrier of ConceptLattice }C) = \text{Concept} \text{with } \text{all } \text{Attributes }C.$
- (7) Let C be a Formal Context and D be a non empty subset of Concept Lattice C. Then
  - (i) the Extent of  $\bigsqcup_C D = (\text{AttributeDerivation } C)((\text{ObjectDerivation } C) )$  ( $\bigcup \{ \text{the Extent of } \langle E, I \rangle; E \text{ ranges over subsets of the objects of } C, I \}$  ranges over subsets of the Attributes of  $C: \langle E, I \rangle \in D \}$ ), and
- (ii) the Intent of  $\bigsqcup_C D = \bigcap \{ \text{the Intent of } \langle E, I \rangle; E \text{ ranges over subsets of the objects of } C, I \text{ ranges over subsets of the Attributes of } C: \langle E, I \rangle \in D \}.$
- (8) Let C be a Formal Context and D be a non empty subset of Concept Lattice C. Then
- (i) the Extent of  $\bigcap_C D = \bigcap \{ \text{the Extent of } \langle E, I \rangle; E \text{ ranges over subsets of the objects of } C, I \text{ ranges over subsets of the Attributes of } C: \langle E, I \rangle \in D \},$  and
- (ii) the Intent of  $\bigcap_C D = (\text{ObjectDerivation } C)((\text{AttributeDerivation } C))$ ( $\bigcup \{\text{the Intent of } \langle E, I \rangle; E \text{ ranges over subsets of the objects of } C, I \text{ ranges over subsets of the Attributes of } C: \langle E, I \rangle \in D \})).$
- (9) Let C be a FormalContext and  $C_1$  be a strict FormalConcept of C. Then  $\bigsqcup_{\text{ConceptLattice }C} \{\langle O, A \rangle; O \text{ ranges over subsets of the objects of } C, A$  ranges over subsets of the Attributes of C:  $\bigvee_{o:\text{object of }C} (o \in \text{the Extent of } C_1 \land O = (\text{AttributeDerivation } C)((\text{ObjectDerivation } C)(\{o\})) \land A = (\text{ObjectDerivation } C)(\{o\})) \} = C_1.$

- (10) Let C be a FormalContext and  $C_1$  be a strict FormalConcept of C. Then  $\bigcap_{\text{ConceptLattice }C}\{\langle O, A \rangle; O \text{ ranges over subsets of the objects of } C$ , A ranges over subsets of the Attributes of C:  $\bigvee_{a:\text{Attribute of }C}$  ( $a \in \text{the Intent of } C_1 \land O = (\text{AttributeDerivation } C)(\{a\}) \land A = (\text{ObjectDerivation } C)((\text{AttributeDerivation } C)(\{a\})))\} = C_1$ .
- Let C be a FormalContext. The functor  $\gamma(C)$  yields a function from the objects of C into the carrier of ConceptLattice C and is defined by the condition (Def. 4).
- (Def. 4) Let o be an element of the objects of C. Then there exists a subset O of the objects of C and there exists a subset A of the Attributes of C such that  $(\gamma(C))(o) = \langle O, A \rangle$  and  $O = (\text{AttributeDerivation } C)((\text{ObjectDerivation } C)(\{o\}))$  and  $A = (\text{ObjectDerivation } C)(\{o\})$ .
  - Let C be a FormalContext. The functor  $\delta_C$  yielding a function from the Attributes of C into the carrier of ConceptLattice C is defined by the condition (Def. 5).
- (Def. 5) Let a be an element of the Attributes of C. Then there exists a subset O of the objects of C and there exists a subset A of the Attributes of C such that  $\delta_C(a) = \langle O, A \rangle$  and  $O = (\text{AttributeDerivation } C)(\{a\})$  and  $A = (\text{ObjectDerivation } C)((\text{AttributeDerivation } C)(\{a\}))$ .

The following propositions are true:

- (11) Let C be a FormalContext, o be an object of C, and a be a Attribute of C. Then  $(\gamma(C))(o)$  is a FormalConcept of C and  $\delta_C(a)$  is a FormalConcept of C.
- (12) For every Formal Context C holds rng  $\gamma(C)$  is supremum-dense and rng $(\delta_C)$  is infimum-dense.
- (13) Let C be a FormalContext, o be an object of C, and a be a Attribute of C. Then o is connected with a if and only if  $(\gamma(C))(o) \sqsubseteq \delta_C(a)$ .

### 2. The Characterization

We now state the proposition

(14) Let L be a complete lattice and C be a FormalContext. Then ConceptLattice C and L are isomorphic if and only if there exists a function g from the objects of C into the carrier of L and there exists a function d from the Attributes of C into the carrier of L such that rng g is supremum-dense and rng d is infimum-dense and for every object o of C and for every Attribute a of C holds o is connected with a iff  $g(o) \sqsubseteq d(a)$ .

Let L be a lattice. The functor Context L yields a strict non quasi-empty ContextStr and is defined as follows:

(Def. 6) Context  $L = \langle \text{the carrier of } L, \text{ the carrier of } L, \text{ LattRel}(L) \rangle$ .

One can prove the following proposition

(15) For every complete lattice L holds ConceptLattice Context L and L are isomorphic.

Let  $L_1$ ,  $L_2$  be lattices. Let us note that the predicate  $L_1$  and  $L_2$  are isomorphic is symmetric.

Next we state the proposition

(16) For every lattice L holds L is complete iff there exists a FormalContext C such that ConceptLattice C and L are isomorphic.

## 3. Dual Concept Lattices

Let L be a complete lattice. Observe that  $L^{\circ}$  is complete.

Let C be a FormalContext. The functor  $C^{\circ}$  yielding a strict non quasi-empty ContextStr is defined as follows:

- (Def. 7)  $C^{\circ} = \langle \text{the Attributes of } C, \text{ the objects of } C, \text{ (the Information of } C) \rangle$ . We now state three propositions:
  - (17) For every strict FormalContext C holds  $(C^{\circ})^{\circ} = C$ .
  - (18) For every FormalContext C and for every subset O of the objects of C holds (ObjectDerivation C)(O) = (AttributeDerivation  $C^{\circ}$ )(O).
  - (19) For every FormalContext C and for every subset A of the Attributes of C holds (AttributeDerivation C)(A) = (ObjectDerivation  $C^{\circ}$ )(A).

Let C be a FormalContext and let  $C_1$  be a ConceptStr over C. The functor  $C_1^{\circ}$  yields a strict ConceptStr over  $C^{\circ}$  and is defined as follows:

(Def. 8) The Extent of  $C_1^{\circ}$  = the Intent of  $C_1$  and the Intent of  $C_1^{\circ}$  = the Extent of  $C_1$ .

Let C be a FormalContext and let  $C_1$  be a FormalConcept of C. Then  $C_1^{\circ}$  is a strict FormalConcept of  $C^{\circ}$ .

We now state the proposition

(20) For every FormalContext C and for every strict FormalConcept  $C_1$  of C holds  $(C_1^{\circ})^{\circ} = C_1$ .

Let C be a Formal Context. The functor DualHomomorphism C yielding a homomorphism from (ConceptLattice C) $^{\circ}$  to ConceptLattice  $C^{\circ}$  is defined as follows:

(Def. 9) For every strict FormalConcept  $C_1$  of C holds (DualHomomorphism C) $(C_1) = C_1^{\circ}$ .

We now state two propositions:

- (21) For every Formal Context C holds DualHomomorphism C is isomorphism.
- (22) For every FormalContext C holds ConceptLattice  $C^{\circ}$  and (ConceptLattice C) $^{\circ}$  are isomorphic.

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