The Incompleteness of the Lattice of Substitutions

Adam Grabowski University of Białystok

Summary. In [12] we proved that the lattice of substitutions, as defined in [10], is a Heyting lattice (i.e. it is pseudo-complemented and it has the zero element). We show that the lattice needs not to be complete. Obviously, the example has to be infinite, namely we can take the set of natural numbers as variables and a singleton as a set of constants. The incompleteness has been shown for lattices of substitutions defined in terms of [23] and relational structures [20].

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The articles [16], [8], [21], [18], [22], [6], [20], [1], [5], [9], [19], [7], [23], [15], [10], [17], [2], [4], [14], [13], [3], and [11] provide the notation and terminology for this paper.

1. Preliminaries

The scheme SSubsetUniq deals with a relational structure $\mathcal A$ and a unary predicate $\mathcal P$, and states that:

Let A_1 , A_2 be subsets of \mathcal{A} . Suppose for every set x holds $x \in A_1$ iff $\mathcal{P}[x]$ and for every set x holds $x \in A_2$ iff $\mathcal{P}[x]$. Then $A_1 = A_2$

for all values of the parameters.

Let A, x be sets. Note that $[:A, \{x\}:]$ is function-like.

Next we state several propositions:

- (1) For every odd natural number n holds $1 \le n$.
- (2) For every finite non empty subset *X* of \mathbb{N} holds max $X \in X$.
- (3) For every finite non empty subset X of \mathbb{N} there exists a natural number n such that $X \subseteq \operatorname{Seg} n \cup \{0\}$.
- (4) For every finite subset *X* of \mathbb{N} there exists an odd natural number *k* such that $k \notin X$.
- (5) Let k be a natural number and X be a finite non empty subset of $[:\mathbb{N}, \{k\}:]$. Then there exists a non empty natural number n such that $X \subseteq [:\operatorname{Seg} n \cup \{0\}, \{k\}:]$.
- (6) Let m be a natural number and X be a finite non empty subset of $[:\mathbb{N}, \{m\}:]$. Then there exists a non empty natural number k such that $\langle 2 \cdot k + 1, m \rangle \notin X$.
- (7) Let m be a natural number and X be a finite subset of $[:\mathbb{N}, \{m\}:]$. Then there exists a natural number k such that for every natural number l such that $l \ge k$ holds $\langle l, m \rangle \notin X$.
- (8) For every upper-bounded lattice *L* holds $\top_L = \top_{\text{Poset}(L)}$.
- (9) For every lower-bounded lattice L holds $\perp_L = \perp_{Poset(L)}$.

2. Poset of Substitutions

The following four propositions are true:

- (11)¹ For every set V and for every finite set C and for all elements A, B of $Fin(V \rightarrow C)$ such that $A = \emptyset$ and $B \neq \emptyset$ holds $B \rightarrow A = \emptyset$.
- (12) For all sets V, V', C, C' such that $V \subseteq V'$ and $C \subseteq C'$ holds SubstitutionSet $(V, C) \subseteq \text{SubstitutionSet}(V', C')$.
- (13) Let V, V', C, C' be sets, A be an element of $Fin(V \rightarrow C)$, and B be an element of $Fin(V' \rightarrow C')$. If $V \subseteq V'$ and $C \subseteq C'$ and A = B, then $\mu A = \mu B$.
- (14) Let V, V', C, C' be sets. Suppose $V \subseteq V'$ and $C \subseteq C'$. Then the join operation of SubstLatt(V, C) = (the join operation of SubstLatt(V, C')) [: the carrier of SubstLatt(V, C), the carrier of SubstLatt(V, C):].
 - Let V, C be sets. The functor SubstPoset(V, C) yields a relational structure and is defined by:
- (Def. 1) SubstPoset(V, C) = Poset(SubstLatt(V, C)).
 - Let V, C be sets. One can verify that SubstPoset(V, C) has l.u.b.'s and g.l.b.'s.
 - Let V, C be sets. One can verify that SubstPoset(V,C) is reflexive, antisymmetric, and transitive. We now state two propositions:
 - (15) Let V, C be sets and a, b be elements of SubstPoset(V, C). Then $a \le b$ if and only if for every set x such that $x \in a$ there exists a set y such that $y \in b$ and $y \subseteq x$.
 - (16) For all sets V, V', C, C' such that $V \subseteq V'$ and $C \subseteq C'$ holds SubstPoset(V, C) is a full relational substructure of SubstPoset(V', C').
- Let n, k be natural numbers. The functor $PF_A(n,k)$ yielding an element of $\mathbb{N} \to \{k\}$ is defined by:
- (Def. 2) For every set x holds $x \in \operatorname{PF}_{A}(n,k)$ iff there exists an odd natural number m such that $m \leq 2 \cdot n$ and $\langle m, k \rangle = x$ or $\langle 2 \cdot n, k \rangle = x$.
 - Let n, k be natural numbers. Observe that $PF_A(n,k)$ is finite.
 - Let n, k be natural numbers. The functor $PF_C(n,k)$ yields an element of $\mathbb{N} \rightarrow \{k\}$ and is defined by:
- (Def. 3) For every set x holds $x \in PF_C(n,k)$ iff there exists an odd natural number m such that $m \le 2 \cdot n + 1$ and $\langle m, k \rangle = x$.

Let n, k be natural numbers. Note that $PF_C(n,k)$ is finite.

Next we state four propositions:

- (17) For all natural numbers n, k holds $(2 \cdot n + 1, k) \in PF_C(n, k)$.
- (18) For all natural numbers n, k holds $PF_C(n,k)$ misses $\{\langle 2 \cdot n + 3, k \rangle\}$.
- (19) For all natural numbers n, k holds $PF_C(n+1,k) = PF_C(n,k) \cup \{\langle 2 \cdot n + 3, k \rangle\}$.
- (20) For all natural numbers n, k holds $PF_C(n,k) \subset PF_C(n+1,k)$.

Let n, k be natural numbers. Observe that $PF_A(n,k)$ is non empty. We now state three propositions:

- (21) For all natural numbers n, m, k holds $PF_A(n,m) \not\subseteq PF_C(k,m)$.
- (22) For all natural numbers n, m, k such that $n \le k$ holds $PF_C(n, m) \subseteq PF_C(k, m)$.

¹ The proposition (10) has been removed.

(23) For every natural number *n* holds $PF_A(1,n) = \{\langle 1, n \rangle, \langle 2, n \rangle\}.$

Let n, k be natural numbers. The functor $PF_B(n,k)$ yields an element of $Fin(\mathbb{N} \to \{k\})$ and is defined as follows:

(Def. 4) For every set x holds $x \in PF_B(n,k)$ iff there exists a non empty natural number m such that $m \le n$ and $x = PF_A(m,k)$ or $x = PF_C(n,k)$.

One can prove the following four propositions:

- (24) For all natural numbers n, k and for every set x such that $x \in PF_B(n+1,k)$ there exists a set y such that $y \in PF_B(n,k)$ and $y \subseteq x$.
- (25) For all natural numbers n, k holds $PF_C(n, k) \notin PF_B(n+1, k)$.
- (26) For all natural numbers n, m, k such that $PF_A(n,m) \subseteq PF_A(k,m)$ holds n = k.
- (27) For all natural numbers n, m, k holds $PF_C(n, m) \subseteq PF_A(k, m)$ iff n < k.

3. The Incompleteness

One can prove the following proposition

(28) For all natural numbers n, k holds $PF_B(n,k)$ is an element of SubstPoset($\mathbb{N}, \{k\}$).

Let k be a natural number. The functor $PF_D(k)$ yields a subset of $SubstPoset(\mathbb{N}, \{k\})$ and is defined as follows:

(Def. 5) For every set x holds $x \in PF_D(k)$ iff there exists a non empty natural number n such that $x = PF_B(n,k)$.

The following propositions are true:

- (29) For every natural number k holds $PF_B(1,k) = \{PF_A(1,k), PF_C(1,k)\}.$
- (30) For every natural number k holds $PF_B(1,k) \neq \{\emptyset\}$.

Let k be a natural number. One can check that $PF_B(1,k)$ is non empty. One can prove the following four propositions:

- (31) For all natural numbers n, k holds $\{PF_A(n,k)\}$ is an element of SubstPoset(\mathbb{N} , $\{k\}$).
- (32) Let k be a natural number, V, X be sets, and a be an element of SubstPoset(V, $\{k\}$). If $X \in a$, then X is a finite subset of $[V, \{k\}]$.
- (33) Let m be a natural number and a be an element of SubstPoset($\mathbb{N}, \{m\}$). Suppose $\operatorname{PF}_D(m) \geq a$. Let X be a non empty set. If $X \in a$, then it is not true that for every natural number n such that $\langle n, m \rangle \in X$ holds n is odd.
- (34) Let k be a natural number, a, b be elements of SubstPoset($\mathbb{N}, \{k\}$), and X be a subset of SubstPoset($\mathbb{N}, \{k\}$). If $a \le X$ and $b \le X$, then $a \sqcup b \le X$.

Let k be a natural number. Note that there exists an element of SubstPoset($\mathbb{N}, \{k\}$) which is non empty.

One can prove the following propositions:

- (35) For every natural number n and for every element a of SubstPoset($\mathbb{N}, \{n\}$) such that $\emptyset \in a$ holds $a = \{\emptyset\}$.
- (36) Let k be a natural number and a be a non empty element of SubstPoset($\mathbb{N}, \{k\}$). If $a \neq \{\emptyset\}$, then there exists a finite function f such that $f \in a$ and $f \neq \emptyset$.

- (37) Let k be a natural number, a be a non empty element of SubstPoset($\mathbb{N}, \{k\}$), and a' be an element of Fin($\mathbb{N} \to \{k\}$). If $a \neq \{\emptyset\}$ and a = a', then Involved a' is a finite non empty subset of \mathbb{N} .
- (38) Let k be a natural number, a be an element of SubstPoset($\mathbb{N}, \{k\}$), a' be an element of Fin($\mathbb{N} \to \{k\}$), and B be a finite non empty subset of \mathbb{N} . Suppose B = Involved a' and a' = a. Let X be a set. If $X \in a$, then for every natural number l such that $l > \max B + 1$ holds $\langle l, k \rangle \notin X$.
- (39) For every natural number k holds $\top_{\text{SubstPoset}(\mathbb{N},\{k\})} = \{\emptyset\}.$
- (40) For every natural number k holds $\perp_{\text{SubstPoset}(\mathbb{N},\{k\})} = \emptyset$.
- (41) For every natural number k and for all elements a, b of SubstPoset($\mathbb{N}, \{k\}$) such that $a \le b$ and $a = \{\emptyset\}$ holds $b = \{\emptyset\}$.
- (42) For every natural number k and for all elements a, b of SubstPoset(\mathbb{N} , $\{k\}$) such that $a \le b$ and $b = \emptyset$ holds $a = \emptyset$.
- (43) For every natural number m and for every element a of SubstPoset($\mathbb{N}, \{m\}$) such that $\operatorname{PF}_{D}(m) \geq a$ holds $a \neq \{\emptyset\}$.

Let m be a natural number. One can verify that $SubstPoset(\mathbb{N}, \{m\})$ is non complete. Let m be a natural number. Note that $SubstLatt(\mathbb{N}, \{m\})$ is non complete.

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